

**IN THE UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF PUERTO RICO**

IRIS N. SANCHEZ-VELAZQUEZ,

Plaintiff,

V.

MUNICIPALITY OF CAROLINA, et al.,

## Defendants.

Civil No. 11-1586 (SEC)

## OPINION AND ORDER

The Court has reviewed plaintiff's motion for voluntary dismissal without prejudice under Fed. R. Civ. P. 41(a)(2) (Docket # 134), defendants' opposition thereto (Docket # 138), and plaintiff's reply (Docket # 143). After reviewing the filings and the applicable law, plaintiff's motion is **GRANTED**.

## **Factual and Procedural Background**

On June 21, 2011, Iris N. Sánchez-Velázquez (“Plaintiff”) filed a complaint against the Municipality of Carolina, the Mayor of the Municipality of Carolina and several municipal police officers in their official and personal capacities alleging retaliation under Title VII of the Civil Rights Act and for violation of her First Amendment Constitutional Rights, among other federal and state law claims. On December 21, 2011 and March 3, 2012, Plaintiff filed a Second and Third Amended Complaint, respectively, with the consent of this court. Dockets # 37 and 46. A month later, Defendants filed a motion to dismiss asserting, among other things, that all of them are entitled to qualified immunity with regard to Plaintiff’s First Amendment retaliation claim. Docket # 62. A second motion to dismiss was filed on April 3, 2012. See Docket # 63.

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3 On September 24, 2012, while the two motions to dismiss were pending before this court,  
4 Plaintiff filed a motion for voluntary dismissal without prejudice of all causes of action against  
5 the Mayor of the Municipality of Carolina and the municipal police officers in their personal  
6 capacities (collectively, “Defendants”).<sup>1</sup> Plaintiff essentially maintains that her request should  
7 be granted because it is timely and will reduce the costs of litigation. In support of her  
8 contention she provides the following arguments: (1) the case is still at an early stage since the  
9 third amended complaint was filed on March 3, 2012, no motion for summary judgment has  
10 been filed, and discovery has barely started; (2) Plaintiff is experiencing economic difficulties  
11 and, recently, she was suspended from work without pay; and (3) the case will be considerably  
12 simplified because defendants’ counsel would be reduced by two, simplifying depositions and  
13 other discovery procedures, and the Court would not need to address the qualified immunity  
14 doctrine. Dockets # 134 and 143, ¶¶ 5, 6, 7, 8, 10, and 12.

15 Defendants’ timely opposed Plaintiff’s motion and aver that, at this stage of the litigation  
16 —more than fifteen (15) months after the filing of the original complaint (the original complaint  
17 was filed on June 21, 2011)—, they will be prejudiced from dismissal without prejudice.  
18 Docket # 138, p. 2. Specifically, Defendants contend that the individual defendants and the  
19 Commonwealth of Puerto Rico —because legal representation was granted to the individual  
20 defendants by the Puerto Rico Department of Justice under Law 9— have already employed  
21 many hours, money and resources in this case, and are currently immersed in extensive

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26 <sup>1</sup>The defendants are: José C. Aponte, Rubén Moyeno, Miguel A. Encarnación, Javier Millán,  
Lorenzo Delgado, Johnny Cruz and Marcos Molina. Defendant Freddie Marquez was served only in  
his official capacity. Due to lack of diligence from plaintiff’s counsel, the Court denied her motion  
requesting issuance of summons as to Marquez in his personal capacity.

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2 discovery proceedings. Id. at p. 2-3. Defendants also opposed because with a dismissal without  
3 prejudice they would face the prospect of a second lawsuit. Id. at p. 3.<sup>2</sup>  
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5 **Standard of Review**

6 Fed. R. Civ. P. 41(a)(2) provides that, after the defendant has answered the complaint  
7 or filed a motion for summary judgment, “an action may be dismissed at the plaintiff’s request  
8 only by court order, on terms that the court considers proper.” Id. By requiring such approval,  
9 the First Circuit has explained, courts ensure that ““no other party will be prejudiced.”” Doe v.  
10 Urohealth Sys., Inc., 216 F.3d 157, 160 (1st Cir. 2000) (quoting P.R. Mar. Shipping Auth. v.  
11 Leith, 668 F.2d 46, 50 (1st Cir. 1981)). The court is responsible under the rule for exercising  
12 its discretion to ensure that such prejudice will not occur.” Id. A voluntary dismissal under Rule  
13 41(a)(2) is without prejudice unless the order states otherwise. FED. R. CIV. P. 41(a)(2).

14 In making a determination under a Rule 41(a)(2) motion, courts have to evaluate the  
15 following factors: (1) the effort and expense incurred by the defendant in preparation for trial;  
16 (2) excessive delay and lack of diligence on the part of the plaintiff in prosecuting the action;  
17 (3) insufficient explanation for the need to take a dismissal; and (4) the fact that a motion for  
18 summary judgment has been filed by the defendant. Doe, 216 F.3d at 160. Courts, however,  
19 “need not analyze each factor or limit their consideration to these factors.” Id. (“The  
20 enumeration of the[se] factors . . . is not equivalent to a mandate that each and every such factor  
21 be resolved in favor of the moving party before dismissal is appropriate. It is rather simply a  
22 guide for the trial judge, in whom the discretion ultimately rests.” Id. (quoting Tyco Labs., Inc.  
23 v. Koppers Co., 627 F.2d 54, 56 (7th Cir. 1980)). A district court abuses its discretion in  
24 granting a Rule 41(a)(2) motion only where the defendant would suffer “[p]lain legal

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26 <sup>2</sup>Despite requesting dismissal with prejudice, Defendants do not ask for terms or conditions  
upon dismissal.

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2 prejudice' as a result of a dismissal without prejudice". Grover v. Eli Lilly & Co., 33 F.3d 716,  
3 718 (6th Cir. 1994) (quoting Cone v. West Virginia Pulp & Paper Co., 330 U.S. 212, 217  
4 (1947)). "Neither the prospect of a second suit nor a technical advantage to the plaintiff should  
5 bar the dismissal." Leith, 668 F.2d at 50.

6 **Applicable Law and Analysis**

7 The first factor that the Court must evaluate is the effort and expenses incurred by the  
8 defendants in preparation for trial. Up to this stage, Defendants have already filed their answers  
9 to the complaint and two motions to dismiss. The discovery proceedings, however, are just  
10 commencing.

11 Discovery was originally due by November 5, 2012, but on August 31, 2012 the parties  
12 filed a joint motion requesting an extension of time to conclude discovery. See Docket # 127.  
13 The parties informed that discovery began in August 2012 and that a sheer number of  
14 documents had to be reviewed. Id. In her reply, Plaintiff alleges that Defendants have taken  
15 only two depositions from fifteen that the parties originally agreed upon, and that the production  
16 of documents and answers to interrogatories and requests for admissions remain pending.  
17 Docket # 143. Defendants do not contest this allegation. In addition, on November 5, 2012,  
18 Magistrate Judge Camille Vélez Rivé held a Case Management and Settlement Conference  
19 pursuant to a referral order issued by this court. According to the minutes issued by the  
20 Magistrate Judge, "[i]n view of the pending discovery, a Settlement Conference at this time is  
21 not viable." Docket # 155. As a result, the discovery deadline "including taking of all  
22 depositions" was extended until March 29, 2013. Id.

23 The second factor requires an analysis of Plaintiff's diligence in prosecuting this action.  
24 The docket entries reveal that, aside from the several opportunities that the Court had to give  
25 to Plaintiff in order to complete the service of process upon all co-defendants, she has been  
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2 diligent in prosecuting this action. The analysis of the first two factors, therefore, favor  
3 dismissal without prejudice.

4 With regard to the third factor —explanation for the need to take a dismissal— Plaintiff  
5 alleges great economic burden. Docket # 143, ¶¶ 8 and 9. Plaintiff believes that the dismissal  
6 will reduce the cost of litigation and will make the case simpler by reducing the number of  
7 defendants' counsels by two, thus reducing scheduling conflicts, and by eliminating the need  
8 to raise the qualified immunity defense. Dockets # 134 and 143, ¶ 12.

9 There is no doubt that this is a very fact-sensitive and complicated case. Upon dismissal  
10 of the claims against the individual defendants in their personal capacities, it will certainly  
11 become simpler, particularly with regard to discovery, and because the court may not need to  
12 address a qualified immunity defense that may depend on further development of the record.  
13 As the case becomes simpler, cost of litigation will automatically be reduced. Plaintiff, a  
14 sergeant of the Carolina Municipal Police, alleges retaliation from other officers of the police  
15 municipality. She alleges that such actions have affected her promotion possibilities and her  
16 daily work, especially in light of a recent suspension from work without pay. See Dockets # 46  
17 and 143. Thus, the Court finds that the explanation set forth by Plaintiff in her motion is  
18 adequate.

19 Finally, Defendants have not filed a motion for summary judgment. Defendants have  
20 only asserted facial challenges to plaintiff's pleadings or defects in the service of process to  
21 certain defendants.

22 Therefore, while the court is responsible under Rule 41(a)(2) for exercising its discretion  
23 to ensure that no defendants will be prejudiced by the dismissal, neither the prospect of a second  
24 suit nor a technical advantage to the plaintiff should bar dismissal. Leith, 668 F.2d at 50. This  
25 court has given particular weight to the early stage of the discovery proceedings and to  
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2 Plaintiff's need to reduce the costs of litigation. This court exercises its discretion in favor of  
3 Plaintiff and grants the motion for voluntary dismissal without prejudice of all causes of action  
4 against Defendants in their personal capacities.

5 The Court acknowledges, however, that the Defendants have incurred in efforts and  
6 expenses to properly defend themselves from those claims against them in their personal  
7 capacities. As stated earlier, such expenses have been covered by the Commonwealth of Puerto  
8 Rico pursuant to Law 9. However, this court has not found nor the parties have cited any First  
9 Circuit decision indicating that the involvement of the state should favor the denial of a request  
10 like the one at bar.

11 For the reasons stated above, Plaintiff's motion is **GRANTED**.

12 **IT IS SO ORDERED.**

13 San Juan, Puerto Rico, this 9th day of November, 2012.

14 S/Salvador E. Casellas  
15 SALVADOR E. CASELLAS  
16 U.S. Senior District Judge

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